

FinSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of John Street Capital AG (hereinafter referred to as the «financial institution») and its services.

A. Company information

Address

Street: Alpenstrasse 4
Zip Code/City: 6300 Zug, Switzerland
Phone: +442074049428
Email: compliance@johnstreetcapital.com
Website: www.johnstreetcapital.com

The financial institution was established in 15.09.2021.

Supervisory authority and audit firm

The financial institution has been licensed as a manager of collective assets in accordance with the Swiss Financial Market Supervision Act art 24, 1 FinIA) since 2023 and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA, Laupenstrasse 27, 3003 Bern. The financial institution is audited and reviewed by the auditing company Grant Thornton AG both in terms of supervisory law and in terms of obligations. The address of the Audit Firm can be found below.

Audit Firm Name: Grant Thornton AG
Address: Claridenstrasse 35, PO Box
Zip Code / City: CH-8027 Zurich
Phone: +41 43 960 71 72
Email: markus.eugster@ch.gt.com
Website: www.grantthornton.ch

B. Information on the offered financial services

The financial institution provides financial services for individual portfolios and collective investment schemes. For further information on the various collective investment schemes, the general risks, specifications, and operating procedures, please refer to the relevant fund prospectuses.

The financial institution does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

C. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» segments. For each client, a client classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting-in or opting-out.

D. Information on risks and costs

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant asset management and portfolio management agreements.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant investment asset management and portfolio management agreements.

E. Information about relationships with third parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective asset management and portfolio management contracts.